



1P2

Customer No. 27123

Docket No. 1232-5268

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Toshihiko TSUJI

Group Art Unit: 2851

Serial No.: 10/768,896

Examiner: Fuller, Rodney Evan

Filed: January 30, 2004

Confirmation No. 7295

For: ILLUMINATION OPTICAL SYSTEM AND EXPOSURE APPARATUS

**CERTIFICATE OF MAILING (37 C.F.R. §1.8(a))**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

1. Information Disclosure Statement (2 pp.);
2. Form PTO-1449 (1 p.)
3. copies of twelve (12) references cited therein;
4. Return receipt postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: December 30, 2004

By:

*Pamela Stone*

Pamela Stone

Correspondence Address:  
MORGAN & FINNEGAN, L.L.P.  
3 World Financial Center  
New York, NY 10281-2101  
(212) 415-8700 Telephone  
(212) 415-8701 Facsimile



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Toshihiko TSUJI

Group Art Unit: 2851

Serial No.: 10/768,896

Examiner: Fuller, Rodney Evan

Filed: January 30, 2004

Confirmation No. 7295

For: ILLUMINATION OPTICAL SYSTEM AND EXPOSURE APPARATUS

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R.

§§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1. ☒ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:

For Japanese Patent Application Laid-Open Nos. JP 2003-45784 A, JP 2003-45774 A, JP 10-70058 A, JP 11-312638 A and JP 7-235472 A, listed as Items B, C, D, F and G, respectively, English Abstracts are provided.

2. ☒ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.

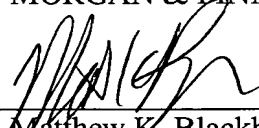
JP 2003-45784 A, JP 2003-45774 A and JP 10-70058 A.

3. ☐ Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.
4. ☒ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
- ☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of a national application other than a CPA; or
  - ☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or
  - ☒ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or
  - ☐ 37 C.F.R. §1.97(b)(4), before the mailing date of a first office action after the filing of an RCE under §1.114.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500., Order No. 1232-5268. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: December 30, 2004

By: \_\_\_\_\_

  
Matthew K. Blackburn  
Registration No. 47,428

Correspondence Address:

**Customer No. 27123**  
MORGAN & FINNEGAN, L.L.P.  
3 World Financial Center  
New York, NY 10281-2101  
(212) 415-8700 Telephone  
(212) 415-8701 Facsimile



|  |                                 |                           |
|--|---------------------------------|---------------------------|
| <b>FORM PTO-1449</b><br><b>INFORMATION DISCLOSURE CITATION</b> | Attorney Docket:<br>1232-5268   | Serial No.:<br>10/768,896 |
|  | Applicant:<br>Toshihiko TSUJI   |                           |
|  | Filing Date<br>January 30, 2004 | Group Art Unit:<br>2851   |

**U.S. PATENT DOCUMENTS**

| Examiner Initial | Item | Patent or Publication Number | Publication Date | Name             | Class | Sub-Class | Filing Date |
|------------------|------|------------------------------|------------------|------------------|-------|-----------|-------------|
|                  | 1    | 2003/0031017 A1              | 02/13/2003       | Tsuji            |       |           | 07/25/2002  |
|                  | 2    | 6,504,896 B2                 | 01/07/2003       | Miyake et al.    |       |           | 08/26/1997  |
|                  | 3    | 6,452,661 B1                 | 09/17/2002       | Komatsuda        |       |           | 02/26/1999  |
|                  | 4    | 5,995,582                    | 11/30/1999       | Terashima et al. |       |           | 04/10/1997  |
|                  | 5    | 5,677,939                    | 10/14/1997       | Oshino           |       |           | 02/23/1995  |
|                  |      |                              |                  |                  |       |           |             |
|                  |      |                              |                  |                  |       |           |             |
|                  |      |                              |                  |                  |       |           |             |
|                  |      |                              |                  |                  |       |           |             |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initial | Item | Patent Number  | Publication Date | Country                  | Class | Sub-Class | Translation   |
|------------------|------|----------------|------------------|--------------------------|-------|-----------|---|
|                  | A    | 2003-506747 A  | 02/18/2003       | JP                       |       |           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
|                  | B    | 2003-45784 A   | 02/14/2003       | JP (w/ English Abstract) |       |           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
|                  | C    | 2003-45774 A   | 02/14/2003       | JP (w/ English Abstract) |       |           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
|                  | D    | 10-70058 A     | 03/10/1998       | JP (w/ English Abstract) |       |           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
|                  | E    | 01/09681 A2,A3 | 02/08/2001       | WO                       |       |           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
|                  | F    | 11-312638 A    | 11/09/1999       | JP (w/ English Abstract) |       |           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
|                  | G    | 7-235472 A     | 09/05/1995       | JP (w/ English Abstract) |       |           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
|                  |      |                |                  |                          |       |           |   |
|                  |      |                |                  |                          |       |           |   |
|                  |      |                |                  |                          |       |           |   |

| Examiner Initial | Item | OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, |
|------------------|------|---|
|                  |      |   |
|                  |      |   |
|                  |      |   |
|                  |      |   |

|   |                 |
|---|-----------------|
| Examiner  | Date Considered |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609.<br>Draw line through citation if not in conformance and not considered.<br>Include copy of this form with next communication to Applicant. |                 |